RECEIPT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Clayton Wishoff et al.

SC/Serial No.: 09/904,932

Confirm. No.: 4715 Filed:

July 13, 2001

DISTRIBUTED APPLICATION INTERFACE Title:

AND AUTHENTICATION PROCESS

PATENT APPLICATION

Art Unit: 2152

Examiner:

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on October 26,

(Attorney Signature) Karl F. Kenna, Reg. No. 45,445

Signature Date: October 26, 2001

## REQUEST FOR CORRECTION OF FILING RECEIPT

Box Missing Parts Commissioner for Patents Washington, DC 20231

Sir:

In the Filing Receipt received by Applicants in the above-identified patent application, the U.S. Patent and Trademark Office erroneously recited the incorrect data. The Domestic Priority Data information is listed correctly on the front page of the patent application as filed on July 13, 2001.

### **Under Domestic Priority Data:**

Please delete the following:

THIS APPLN CLAIMS BENEFIT OF 60/217,886 07/12/2000\*

AND CLAIMS BENEFIT OF 60/213,123 07/13/2000 AND CLAIMS BENEFIT OF 60/218,095 07/13/2000 AND CLAIMS BENEFIT OF 60/217,916 07/13/2000 AND CLAIMS BENEFIT OF 60/217,886 07/12/2000\*

(\*) Data inconsistent with PTO records

And replace with:

THIS APPLN CLAIMS BENEFIT OF 60/217,886 07/13/2000

A copy of the Filing Receipt is also attached, with the corrections marked thereon.

- 1 -

Attorney Docket No.: ZAPME-01016US1 kfk/zaprne/1016us1/req.corr.fil.rec.wpd

In addition, a copy of a Request for Correction of Filing Receipt and accompanying documents as filed on October 25, 2001 with the U.S. Patent and Trademark Office in U.S. Provisional Patent Application Serial No. 60/217,886 is also attached. These documents include the Express Mail Receipt with the Official U.S. Post Office stamped date of July 13, 2000 and a copy of the Provisional Application Transmittal letter dated July 13, 2000 showing the actual date of filing of U.S. Provisional Patent Application Serial No. 60/217,886 is July 13, 2000 and not July 12, 2000 as the U.S. Patent and Trademark Office records indicate.

Please make the indicated corrections and forward to us a corrected filing receipt.

There is no fee due with this communication. However, the Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 10/26/200

By: \_\_\_\_\_

Karl F. Kenna Reg. No. 45,445

FLIESLER DUBB MEYER & LOVEJOY LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone: (415) 362-3800



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/904,932	07/13/2001	2152	710		6	12	2

**CONFIRMATION NO. 4715** 

FLIESLER DUBB MEYER & LOVEJOY LLP Fourth Floor

Sheldon R. Meyer

Four Embarcadero Center

San Francisco, CA 94111-4156

RECEIVED

SEP 0 4 2001

FLIESLER DUBB, SMEYER & LOVEJOY **FILING RECEIPT** OC000000006497345\*

Date Mailed: 08/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Clayton Wishoff, Residence Not Provided; Claudio Werneck, Residence Not Provided; James Louis Pearce, Residence Not Provided:

## Domestic Priority data as claimed by applicant

07/13/2000

THIS APPLN CLAIMS BENEFIT OF 60/217,886 97/12/2000 AND CLAIMS BENEFIT OF 60/216,123 07/13/2000 AND CLAIMS BENEFIT OF 60/218,919 07/18/2000 \* AND CLAIMS BENEFIT OF 60/218,095 07/13/2000 AND CLAIMS BENEFIT OF 60/217;916 67/13/2000 (\*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 08/28/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

01016451

tember 13,2002

Title

## Distributed application interface and authentication process

**Preliminary Class** 

709

Data entry by : LEMESSA, HANNA

Team : OIPE

Date: 08/30/2001



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Clayton Wishoff et al

SC/Serial No.: 60/217,886 Confirm. No.: Unknown

Filed: July 13, 2000

Title: DISTRIBUTED APPLICATION INTERFACE

AND AUTHENTICATION PROCESS

PATENT APPLICATION

Customer No. 23910

COPY

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8** 

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on October 25, 2001.

Karl F. Kenna, Reg. No. 45,445 Signature Date: October 25, 2001 \_(Attorney Signature)

## REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents Washington, DC 20231

Sir:

In the Filing Receipt received by Applicants in the above-identified provisional patent application, the U.S. Patent and Trademark Office erroneously recited an incorrect filing date. Enclosed please find a copy of the Express Mail Receipt with the Official U.S. Post Office stamped date of July 13, 2000 and a copy of the Provisional Application Transmittal letter dated July 13, 2000.

### Filing Date:

Please delete the following:

07/12/2000

And replace with:

07/13/2000

A copy of the Filing Receipt is also attached, with the corrections marked thereon.

Please make the indicated corrections and forward to us a corrected filing receipt.

There is no fee due with this communication. However, the Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 10/25/2001

By: Coulle

Karl F. Kenna Reg. No. 45,445

FLIESLER DUBB MEYER & LOVEJOY LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone: (415) 362-3800

COPY



COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231 ww.uspło.gov

APPLICATION NUMBER

FILING DATE

GRP ART UNIT FIL FEE REC'D ATTY DOCKET NO DRAWINGS

TOT CLAIMS IND CLAIMS

60/217,886 - 07/12/2000

150

**ZAPME1016US0** SRM

6

07/13/2000

23910 FLIESLER DUBB MEYER & LOVEJOY, LLP FOUR EMBARCADERO CENTER SUITE 400 SAN FRANCISCO, CA 94111

FILING RECEIPT

OC00000005438195\*

Date Mailed: 09/29/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Clayton Wishoff, Foster City, CA; Claudio Wirneck, Dublin, CA; James Louis Pierce, Concord, CA;

**Continuing Data as Claimed by Applicant** 

**Foreign Applications** 

If Required, Foreign Filing License Granted 09/28/2000 V

Fliesler, Dubb, Meyer & Lovejoy File: ZAPME - DIOIGUSE

Action Item: File (PA) Application

Date Due: Jnh 13 2001

Critical Date:

Attorney Path: SPEM KFK

Docketed By: prev. dktd. Verified By:

Title

Distributed application interface and authentication process

**Preliminary Class** 

Data entry by : BAILEY, NINA

Team: OIPE

Date: 09/29/2000

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15



## **GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
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- The docket number allows a maximum of 25 characters.
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- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231





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In re Application		) PATENT APPLICATION
Inventor(s): Clayton Wishoff Claudio Wirneck James Louis Piero		) )
SC/Serial No.:	Unknown	Customer No 239
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This is a red § 1.53(c).	quest for filing a PROVIS	SIONAL APPLICATION FOR PATENT under 37 C.F.R.
INVENTOR(s)/APP	LICANT(s):	
NAME		RESIDENCE (City and Either State or Foreign Country)
Wishoff, Clayton	<u> </u>	Foster City, California

-1-.

Attorney Docket No.: ZAPME1016USO SRM srm/zapme/1016/1016pr.02.wpd

Last, First M.I.

NAME	·	RESIDENCE (City	and Either State or Foreign Country)
	eck, Claudio First M.I.	Dublin, California	3
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	e, James Louis First M.I.	Concord, Califor	nia
TITLE	<b>OF THE INVENTION (280</b> cl	naracters max):	COPY
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	FLIESLER Four E San Fra	Sheldon R. Meyer, Esq., DUBB, MEYER & LOVE mbarcadero Center, Suit incisco, California 9411 elephone: (415) 362-380	te 400 1-4156
	Please direct all telephone	calls to the undersigned	attorney at (415) 362-3800.
ENCL	OSED APPLICATION PARTS	(check all that apply):	
<u> X</u>	Specification Number of pa	ages: <u>52</u> Si	mall Entity Statement
X	Drawings Number of sl	heets: <u>5</u> _ 0	ther (specify)
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<u>X</u>	A check in the amount of \$150.00 for other than a s		iling fee (\$75.00 for Small Entity/
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contra	The invention was made by an agency of the United Sta	ency of the United States Government or under a ates Government.
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	Yes, the name of the U.S. Government	nent agency and Government contract number are:
Date:	7/13/00	By: Sheldon R. Meyer Reg. No. 27,660

FLIESLER, DUBB, MEYER & LOVEJOY LLP Four Embarcadero Center, Suite 400 San Francisco, California 94111-4156 Telephone: (415) 362-3800

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	Signatu	ıre:			Date/Time:	7.13.00	1537	
	<b></b>		`	-		,	<b>,</b>	



(1)	Clayton WISHOFF	
a resident of	Foster City, California	 ; and
(2)	Claudio WERNECK	·•
a resident of	Raleigh, North Carolina	; and
(3)	James Louis PEARCE	<b>,</b>
a resident of	Concord, California	

have invented certain new and useful improvements in:

## DISTRIBUTED APPLICATION INTERFACE AND AUTHENTICATION PROCESS

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention.

WHEREAS rStar Corporation (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 3000 Executive Parkway, Suite 150, San Ramon 94583, State of California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional,

continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgment before the Notary Public as given below and delivered this instrument to said Assignee:

1. On the day of, 20;	
Or	
2. ✓ Said application having SC/Serial Number July, 2001.	r 09/904,932 and filed on the 13th day of
Date of Execution of Declaration for Patent Application:	A trulal
State of CALIFORNA	or's Signature) (1
On OctoBER 12 2001 before me, JULEN (name and	
personally appeared <u>Clayton Wishoff</u> , personally known to me (or proved to me person(s) whose name(s) is/are subscribed to the within instrument and acknowle his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the of which the person(s) acted, executed the instrument.	dged to me that he/she/they executed the same in
WITNESS my hand and official seal.  Signature With Many	JULEEN MURRAY Commission # 1258536 Notary Public - Colifornia & Contina Costa County My Comm. Expires Apr 24, 2004
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1.		On the	e day of	, 20;		
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2.	<u> </u>	Said a July, 2	• •	SC/Serial Numbe	r 09/904,932 and	filed on the 13th day of
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person(s his/her/s of which	ally appeared so whose name their authorized the person so see the person see the pe	Claudio Werned le(s) is/are subse led capacity(ies)	cribed to the within in: , and that by his/her/th ed the instrument.	to me (or proved to n strument and acknowle	ne on the basis of sat ledged to me that he/s instrument the persor	isfactory evidence) to be the he/they executed the same in n(s), or the entity upon behalf
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1, On the day of, 20;
Or
2. Said application having SC/Serial Number 09/904,932 and filed on the 13th day of July, 2001.
Date of Execution of Declaration for Patent Application:
(3) (Inventor's Signature)
State of CALIFORNIA  County of CONTRA COSTA
On OCTOBER 4, 2001 before me, JULEEN MURRAY NOTARY PUBLIC, (name and title of officer)
personally appeared James Louis Pearce, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(jes), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  **WITNESS my hand and official seal.**  **WITNESS my hand and official seal.**  **Signature**  **WITNESS my hand and official seal.**  **WITNESS my ha
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